



Serial No. 09/928159

#5 PB

1-31-03

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BASTUE et al.

Examiner: Unknown

Serial No.: 09/928159

Group Art Unit: 2872

Filed: August 10, 2001

Docket No.: 01485.0015-US-01

Title: ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 8, 2003.

Iain A. McIntyre
Name

Signature

Information Disclosure Statement Under 37 C.F.R. §1.97(b)

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §1.98(d).

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No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC



Date: January 8, 2003

By:

Iain A. McIntyre
Reg. No. 40,337
IAM/vlb

A handwritten signature in black ink, appearing to read "Iain A. McIntyre". It is written over a horizontal line that extends beyond the end of the signature.

Examiner: _____ **Date Considered:** _____